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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	2401.0266C
First Inventor	Richard L. Prass
Title	Intraoperative Neurophysiological Monitoring System
Express Mail Label No.	

11036 U.S. PTO

09/985708

11/06/01

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☐ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages **88**]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **11**]
5. Oath or Declaration [Total Pages **1**]
  - a. ☐ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
  - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement of Power of Attorney (when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: \_\_\_\_\_

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:


☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**☐ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or ☒ Correspondence address below

Name	Epstein, Edell, Shapiro, Finnan & Lytle, LLC				
Address	1901 Research Blvd, Suite 400				
City	Rockville	State	MD	Zip Code	20850
Country	US	Telephone	301-424-3640	Fax	301-762-4056

Name (Print/Type)	Robert H. Epstein	Registration No. (Attorney/Agent)	24,353
Signature		Date	11/5/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

**BOX PATENT APPLICATIONS**

**EPSTEIN, EDELL, SHAPIRO, FINNAN & LYTLE, LLC**  
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(301) 424-3640

**BOX: PATENT APPLICATION**

Assistant Commissioner for Patents  
Washington, D.C. 20231

**Atty Docket No.: 2401.0266C**

Sir:

Transmitted herewith for filing is the patent application of:

Inventor(s): Richard L. Prass

For: Intraoperative Neurophysiological Monitoring System

Enclosed are:

Utility Patent Application Transmittal (PTO/SB/05);  
88 Pages of Specification (Letter Size);  
11 Sheets of Drawings; and  
Return Receipt Postcard.


The fee has been calculated as shown below:

					LARGE/SMALL ENTITY FEE:			\$ 740.00
TOTAL CLAIMS:	<u>32</u>	-	20	=	12	Extra x	\$9/18	= \$ 216.00
INDEPENDENT CLAIMS:	<u>8</u>	-	3	=	5	Extra x	\$42/84	= <u>\$ 420.00</u>
TOTAL FEE DUE:								\$1376.00

The Commissioner is hereby authorized to charge any additional fees or credit any overpayment in the subject patent application to Deposit Account No. 05-0460.

All correspondence regarding this application should be directed to EPSTEIN, EDELL, SHAPIRO, FINNAN & LYTLE, LLC at the above address.

Respectfully submitted,

  
Robert H. Epstein  
Registration No. 24,353

Hand-Delivered: 11/6/01